

# Weak-type inequalities for Kantorovitch polynomials and related operators

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## ABSTRACT

Continuing previous investigations concerning Bernstein polynomials, the purpose of this paper is to establish the weak-type inequality ( $f \in L^p(0, 1)$ ,  $n \in \mathbb{N}$ )

$$\omega_\varphi(n^{-1/2}, f) \leq M_p n^{-1} \sum_{k=1}^n \|K_k f - f\|_p$$

in terms of the Kantorovitch polynomial  $K_k f$  and the modulus of continuity ( $\varphi^2(x) := x(1-x)$ )

$$\omega_\varphi(t, f) := \sup_{0 < h \leq t} \|\Delta_{h\varphi}^2 f\|_p + \sup_{0 < h \leq t^2} \|\Delta_h^2 f\|_p.$$

Such estimates which immediately imply well-known inverse results are also obtained for the Kantorovitch version of the Szász-Mirakjan and Baskakov operators, respectively.

## 1. INTRODUCTION

Let  $L^p(a, b)$ ,  $1 \leq p < \infty$ , be the space of functions  $f$ ,  $p$ -th power integrable on the interval  $(a, b)$  with norm

$$\|f\|_p = \|f\|_{L^p(a, b)} := \left\{ \int_a^b |f(x)|^p dx \right\}^{1/p}.$$

For  $f \in L^p(0, 1)$  consider the Kantorovitch polynomial

$$K_n f := \sum_{k=0}^n F_{kn} f p_{kn}, \quad p_{kn}(x) := \binom{n}{k} x^k (1-x)^{n-k},$$

$$F_{kn} f := (n+1) \int_{k/(n+1)}^{(k+1)/(n+1)} f(u) du.$$

The error of this approximation process should be compared with the modulus  $(\varphi^2(x)) := x(1-x)$

$$\omega_\varphi(t, f) := \sup_{0 < h \leq t} \|\Delta_{h\varphi}^2 f\|_{L^p(h^2, 1-h^2)} + \sup_{0 < h \leq t^2} \|\Delta_h^2 f\|_{L^p(h, 1-h)},$$

$$\Delta_h^2 f(x) := f(x+h) - 2f(x) + f(x-h).$$

Indeed, the direct estimate

$$(1.1) \quad \|K_n f - f\|_p \leq M_p [\omega_\varphi(n^{-1/2}, f) + n^{-1} \|f\|_p]$$

was proved in [5]. In this paper we establish the weak-type inequality (cf. Theorem 3.2)

$$(1.2) \quad \omega_\varphi(n^{-1/2}, f) \leq M_p n^{-1} \sum_{k=1}^n \|K_k f - f\|_p,$$

improving the inverse results of [5].

To this end, Section 2 generalizes the approach given in [7] in connection with the Bernstein polynomials. Using a characterization of  $\omega_\varphi(t, f)$  in terms of a (Peetre-)  $K$ -functional (see [5]) Section 3 establishes (1.2).

This procedure may also be applied to approximation processes on the positive semi-axis which is done in Section 4 for the Kantorovitch version of the Szász-Mirakjan and Baskakov operators, respectively.

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## 2. APPROXIMATION BY SMOOTHING FUNCTIONS

Let us commence with an extension of a lemma, given in [7].

LEMMA 2.1. *Suppose that for non-negative sequences  $\{v_n\}$ ,  $\{\psi_n\}$  with  $v_1 = 0$  the inequality ( $s > 0$ ,  $Q \geq 1$ )*

$$(2.1) \quad v_n \leq Q(k/n)^s v_k + M\psi_k \quad (1 \leq k \leq n)$$

*is satisfied for  $n \in \mathbb{N}$ . Then one has*

$$(2.2) \quad v_n \leq M_q n^{-q} \sum_{k=1}^n k^{q-1} \psi_k$$

*with  $q = s$  in case  $Q = 1$  and with  $0 < q < s$  else. If, additionally to (2.1), for some  $0 < r < s$*

$$(2.3) \quad \mu_n \leq (k/n)^r \mu_k + M(v_k + \psi_k) \quad (1 \leq k \leq n)$$

*holds true for a further non-negative sequence  $\{\mu_n\}$  with  $\mu_1 = 0$ , then*

$$(2.4) \quad \mu_n \leq M_{rs} n^{-r} \sum_{k=1}^n k^{r-1} \psi_k.$$

PROOF. First, let  $Q > 1$  and  $0 < q < s$ . Then choose  $b \geq 2$  with  $Qb^{-s} \leq b^{-q}$ . Let  $n \geq b$  be given and  $N \in \mathbb{N}$  be such that  $b^N \leq n < b^{N+1}$ . Then there exist  $m_k \in \mathbb{N}$ ,

$0 \leq k \leq N$ , with  $nb^{-k-1} < m_k \leq nb^{-k}$  and

$$\psi_{m_k} \leq \psi_j \quad (nb^{-k-1} < j \leq nb^{-k}).$$

Setting  $m_{N+1} := 1$  one therefore obtains by (2.1) that

$$\begin{aligned} v_n &\leq Q(m_0/n)^s v_{m_0} + M\psi_{m_0} = \\ &= Qn^{-s} \sum_{k=0}^N Q^k m_k^s [v_{m_k} - Q(m_{k+1}/m_k)^s v_{m_{k+1}}] + M\psi_{m_0} \\ &\leq MQ \sum_{k=0}^N b^{-kq} \psi_{m_{k+1}} + M\psi_{m_0} \leq MQb^q \sum_{k=0}^{N+1} b^{-kq} \psi_{m_k} \\ &\leq M_q n^{-q} \sum_{k=0}^{N+1} \sum_{nb^{-k-1} < j \leq nb^{-k}} j^{q-1} \psi_j = M_q n^{-q} \sum_{j=1}^n j^{q-1} \psi_j. \end{aligned}$$

The case  $Q=1$ , already shown in [7], follows analogously (take  $b=2$ ). Concerning (2.4), choose  $r < q < s$  (or  $q=s$ ) so that (2.2,3) imply

$$\mu_n \leq (k/n)^r \mu_k + M[M_{rs} k^{-q} \sum_{j=1}^k j^{q-1} \psi_j + \psi_k],$$

thus an inequality of type (2.1) but now with  $Q=1$ . This again delivers

$$\begin{aligned} \mu_n &\leq M_r n^{-r} \sum_{k=1}^n k^{r-1} [\psi_k + M_{rs} k^{-q} \sum_{j=1}^k j^{q-1} \psi_j] \\ &= M_r n^{-r} \sum_{k=1}^n k^{r-1} \psi_k + M_{rs} n^{-r} \sum_{j=1}^n j^{q-1} \psi_j \sum_{k=j}^n k^{r-1-q}. \end{aligned}$$

Since  $\sum_{k=j}^{\infty} k^{r-1-q} \leq M_{rs} j^{r-q}$ , the lemma is proved.  $\square$

Let  $X$  be a normed linear space with norm  $\|\cdot\|_X$  and let  $U \subset X$  be a linear manifold with seminorm  $|\cdot|_U$ . Structural properties of  $f \in X$  may be measured in terms of the  $K$ -functional

$$(2.5) \quad K(t, f) = K(t, f; X, U) := \inf_{g \in U} \{\|f - g\|_X + t|g|_U\}.$$

Let  $\{T_n\}$  be a smoothing approximation process, i.e., a sequence of (bounded) linear operators on  $X$  into  $U$ .

**THEOREM 2.2.** *Assume that there exists a second seminorm  $|\cdot|_U^*$  on  $U$  such that for  $f \in X$ ,  $g \in U$  ( $0 < r < s$ ,  $n \in \mathbb{N}$ )*

$$(2.6) \quad |T_n f|_U \leq M n^r \|f\|_X,$$

$$(2.7) \quad |T_n f|_U^* \leq M n^s \|f\|_X,$$

$$(2.8) \quad |T_n g|_U \leq |g|_U + M n^{r-s} |g|_U^*,$$

$$(2.9) \quad |T_n g|_U^* \leq M |g|_U^*.$$

Moreover, let  $|T_1 f|_U = |T_1 f|_U^* = 0$  for all  $f \in X$ . Then there holds the weak-type inequality

$$(2.10) \quad K(n^{-r}, f) \leq M_{rs} n^{-r} \sum_{k=1}^n k^{r-1} \|T_k f - f\|_X \quad (f \in X).$$

PROOF. Let  $f \in X$  be fixed. Setting

$$\mu_n = n^{-r} |T_n f|_U, \quad \nu_n = n^{-s} |T_n f|_U^*, \quad \psi_n = \|T_n f - f\|_X$$

one has by (2.6,8) that for  $1 \leq k \leq n$

$$\begin{aligned} \mu_n &\leq n^{-r} |T_n T_k f|_U + n^{-r} |T_n (T_k f - f)|_U \\ &\leq n^{-r} |T_k f|_U + M n^{-s} |T_k f|_U^* + M \|T_k f - f\|_X \\ &= (k/n)^r \mu_k + M[(k/n)^s \nu_k + \psi_k] \leq (k/n)^r \mu_k + M[\nu_k + \psi_k]. \end{aligned}$$

Moreover, it follows in view of (2.7,9) that

$$\nu_n \leq n^{-s} |T_n T_k f|_U^* + n^{-s} |T_n (T_k f - f)|_U^* \leq M[(k/n)^s \nu_k + \psi_k].$$

Then Lemma 2.1 furnishes

$$|T_m f|_U \leq M_{rs} \sum_{k=1}^m k^{r-1} \|T_k f - f\|_X \quad (m \in \mathbb{N}).$$

Now for  $n \geq 2$  there exists  $m \in \mathbb{N}$  such that  $n/2 \leq m \leq n$  and

$$\|T_m f - f\|_X \leq \|T_k f - f\|_X \quad (n/2 \leq k \leq n).$$

Therefore one obtains

$$\begin{aligned} K(n^{-r}, f) &\leq \|T_m f - f\|_X + n^{-r} |T_m f|_U \\ &\leq 2^r n^{-r} \sum_{n/2 \leq k \leq n} k^{r-1} \|T_k f - f\|_X + M_{rs} n^{-r} \sum_{k=1}^m k^{r-1} \|T_k f - f\|_X, \end{aligned}$$

thus the assertion.  $\square$

Note that Theorem 2.1 regains the result in [6] (for  $|\cdot|_U = |\cdot|_U^*$  and  $r=1, s=2$ ).

Concerning rates of convergence, let  $\omega(t)$  be a positive, increasing function on  $(0, \infty)$  such that for some  $A > 1$

$$(2.11) \quad \limsup_{t \rightarrow 0+} \omega(t)/\omega(t/A) < A^r.$$

Typical examples are supplied by  $\omega(t) = t^\alpha |\log t|^\beta \exp \{|\log t|^\gamma\}$ ,  $0 < \alpha < r$ ,  $\beta \in \mathbb{R}$ ,  $\gamma < 1$  (cf. [3]).

**COROLLARY 2.3.** *Under the assumptions of Theorem 2.2 suppose that  $f \in X$  satisfies*

$$(2.12) \quad \|T_n f - f\|_X = \mathcal{O}_f(\omega(1/n)) \quad (n \rightarrow \infty)$$

for  $\omega$  subject to (2.11). Then

$$(2.13) \quad K(t^r, f) = \mathcal{O}_f(\omega(t)) \quad (t \rightarrow 0+).$$

PROOF. By (2.11) there exists  $t_0 > 0$  and  $0 < \varrho < A^r$  such that

$$\omega(t) \leq \varrho \omega(t/A) \quad (0 < t \leq t_0)$$

which implies for  $j \in \mathbb{N}$ ,  $0 < A^j t \leq t_0$  that

$$\omega(A^j t) \leq \varrho^j \omega(t).$$

Let  $n \geq A/t_0$  and choose  $m \in \mathbb{N}$  with  $A^m/n \leq t_0 < A^{m+1}/n$ . Then

$$\omega(1/n) \geq \varrho^{-m} \omega(A^m/n) \geq n^{-r} t_0^{-r} \omega(t_0/A).$$

Therefore by (2.10,12)

$$\begin{aligned} K(n^{-r}, f) &\leq M_{rs} n^{-r} \left( \sum_{1 \leq k \leq A/t_0} + \sum_{n/A^m < k \leq n} \right) k^{r-1} \|T_k f - f\|_X \\ &= \mathcal{O}_f(\omega(1/n)) + n^{-r} \sum_{j=1}^m \sum_{n/A^j < k \leq n/A^{j-1}} k^{r-1} \omega(1/k) \\ &= \mathcal{O}_f(\omega(1/n)) + \sum_{j=1}^m \omega(A^j/n) A^{-jr} \\ &= \mathcal{O}_f(\omega(1/n)) \left[ 1 + \sum_{j=1}^m (\varrho/A^r)^j \right] = \mathcal{O}_f(\omega(1/n)). \end{aligned} \quad \square$$

### 3. KANTOROVITCH POLYNOMIALS

Let us first establish a technical lemma, useful for  $L^p$ -approximation.

LEMMA 3.1. *Let  $g_{kn}$  be continuous on  $(0, 1)$  such that*

$$(3.1) \quad \sum_{k=0}^n |g_{kn}(x)| \leq \alpha_n \quad (0 < x < 1),$$

$$(3.2) \quad \|g_{kn}\|_1 \leq \beta_n \quad (0 \leq k \leq n).$$

Then one has for  $f \in L^p(0, 1)$ ,  $1 \leq p < \infty$ , that

$$(3.3) \quad \left\| \sum_{k=0}^n F_{kn} f g_{kn} \right\|_p \leq (n+1)^{1/p} \alpha_n^{1-1/p} \beta_n^{1/p} \|f\|_p.$$

PROOF. Set  $\chi_n(x, u) := (n+1)g_{kn}(x)$  in case  $k/(n+1) < u < (k+1)/(n+1)$  and  $0 \leq k \leq n$ . In view of (3.1,2) it follows that

$$\int_0^1 |\chi_n(x, u)| du \leq \alpha_n, \quad \int_0^1 |\chi_n(x, u)| dx \leq (n+1) \beta_n.$$

Then by Hölder's inequality

$$\begin{aligned} \left| \sum_{k=0}^n F_{kn} f g_{kn}(x) \right| &= \left| \int_0^1 f(u) \chi_n(x, u) du \right| \\ &\leq \alpha_n^{1-1/p} \left( \int_0^1 |f(u)|^p |\chi_n(x, u)| du \right)^{1/p}. \end{aligned}$$

Moreover, Fubini's theorem implies (3.3) since

$$\begin{aligned} \left\| \sum_{k=0}^n F_{kn} f g_{kn} \right\|_p^p &\leq \alpha_n^{p-1} \int_0^1 |f(u)|^p \int_0^1 |\chi_n(x, u)| dx du \\ &\leq (n+1) \alpha_n^{p-1} \beta_n \|f\|_p^p. \end{aligned} \quad \square$$

The proof of (1.2) essentially depends on the estimate (cf. [5])

$$(3.4) \quad \omega_\varphi(t, f) \leq M_p K(t^2, f) \quad (t > 0, f \in L^p(0, 1))$$

where  $K$  is defined by (2.5) with  $X = L^p(0, 1)$  and

$$U := \{g \in L^p(0, 1) : \varphi^2 g'' \in L^p(0, 1)\}, \quad |g|_U := \|\varphi^2 g''\|_p.$$

**THEOREM 3.2.** *For  $f \in L^p(0, 1)$ ,  $1 \leq p < \infty$ , there holds true the weak-type inequality*

$$(3.5) \quad \omega_\varphi(n^{-1/2}, f) \leq M_p n^{-1} \sum_{k=1}^n \|K_k f - f\|_p.$$

**PROOF.** To apply Theorem 2.2 set  $T_n = K_n$  and  $|g|_U^* = \|g''\|_p$ . Now (cf. [2] for Bernstein polynomials)

$$\varphi^2 K_n'' f = \sum_{k=0}^n F_{kn} f g_{kn},$$

$$g_{kn}(x) := n[(n-1)(k/n-x)^2 - \varphi^2(k/n)] p_{kn}(x) / \varphi^2(x).$$

Then (3.1) with  $\alpha_n = 2n$  is obvious. Moreover, in view of  $\|p_{kn}\|_1 = (n+1)^{-1}$  one obtains

$$\begin{aligned} \left\| \varphi^2 \left( \frac{k}{n} \right) p_{kn} / \varphi^2 \right\|_1 &= \left( 1 - \frac{1}{n} \right) \|p_{k-1, n-2}\|_1 = \frac{1}{n}, \\ \left\| \left( \frac{k}{n} - x \right)^2 p_{kn}(x) / \varphi^2(x) \right\|_1 &= \int_0^1 \left[ - \left( 1 - \frac{1}{n} \right) p_{k-1, n-2}(x) - p_{kn}(x) \right. \\ &\quad \left. + p_{k-1, n-1}(x) + p_{k, n-1}(x) \right] dx \\ &= -\frac{1}{n} - \frac{1}{n+1} + \frac{2}{n} = \frac{1}{n(n+1)} \end{aligned}$$

so that  $\|g_{kn}\|_1 \leq 2 = \beta_n$ . Then (2.6) with  $r=1$  follows since by (3.3)

$$\|\varphi^2 K_n'' f\|_p \leq (n+1)^{1/p} (2n)^{1-1/p} 2^{1/p} \|f\|_p \leq 4n \|f\|_p.$$

To establish (2.7) with  $s=2$  apply the representation

$$(3.6) \quad \begin{cases} K_n'' f = n(n-1) \sum_{k=0}^{n-2} \Delta^2 F_{k+1,n} f p_{k,n-2} = n^2 \varphi^{-2} \sum_{k=1}^{n-1} \varphi^2 \left( \frac{k}{n} \right) \Delta^2 F_{kn} f p_{kn}, \\ \Delta^2 F_{kn} f = F_{k-1,n} f - 2F_{kn} f + F_{k+1,n} f, \end{cases}$$

which delivers by Lemma 3.1

$$\|K_n'' f\|_p \leq 4n(n+1)^{1/p} (n-1)^{1-1/p} \|f\|_p \leq 12n^2 \|f\|_p.$$

Concerning (2.8) let  $g \in U$ . Then  $(1 \leq k \leq n-1)$

$$(3.7) \quad \Delta^2 F_{kn} g = (n+1) \iiint_{-1/2(n+1)}^{1/2(n+1)} g'' \left( \frac{k+1/2}{n+1} + u + s + t \right) dudsdt.$$

With  $y = \frac{k+1/2}{n+1} + u + s + t$  one has

$$\left| \frac{k}{n} - y \right| \leq \frac{|k-n/2|}{n(n+1)} + \frac{3/2}{n+1} \leq \frac{2}{n+1},$$

$$\varphi^2 \left( \frac{k}{n} \right) = \varphi^2(y) + \left( \frac{k}{n} - y \right) (1-2y) - \left( \frac{k}{n} - y \right)^2 \leq \varphi^2(y) + \frac{2}{n+1}$$

so that with  $G_n(v) := \left[ \varphi^2(v) + \frac{2}{n+1} \right] |g''(v)|$

$$\varphi^2 \left( \frac{k}{n} \right) |\Delta^2 F_{kn} g| \leq (n+1) \iiint_{-1/2(n+1)}^{1/2(n+1)} G_n \left( \frac{k+1/2}{n+1} + u + s + t \right) dudsdt$$

$$= F_{kn} H_n,$$

$$H_n(v) := \begin{cases} \iiint_{-1/2(n+1)}^{1/2(n+1)} G_n(v+s+t) dsdt, & \frac{1}{n+1} \leq v \leq \frac{n}{n+1} \\ 0 & , \text{ else.} \end{cases}$$

Then Hölder's inequality yields

$$H_n(v) \leq (n+1)^{2/p-2} \left\{ \iiint_{-1/2(n+1)}^{1/2(n+1)} G_n^p(v+s+t) dsdt \right\}^{1/p},$$

$$\|H_n\|_p \leq (n+1)^{2/p-2} \left\{ \iiint_{-1/2(n+1)}^{1/2(n+1)} \left[ \int_{1/(n+1)}^{n/(n+1)} G_n^p(v+s+t) dv \right] dsdt \right\}^{1/p}$$

$$\leq (n+1)^{-2} \|G_n\|_p \leq (n+1)^{-2} \left[ \|\varphi^2 g''\|_p + \frac{2}{n+1} \|g''\|_p \right],$$

thus (2.8) since by (3.3,6)

$$\|\varphi^2 K_n'' g\|_p \leq n^2 \|K_n H_n\|_p \leq \|\varphi^2 g''\|_p + \frac{2}{n} \|g''\|_p.$$

Moreover, (2.9) follows analogously (take  $G_n = g''$  to estimate  $\Delta^2 F_{kn} g$ ) so that Theorem 2.2 in connection with  $K_1'' f = 0$  and (3.4) establishes the assertion.  $\square$

Concerning rates of convergence Corollary 2.3 in connection with (1.1) delivers

**COROLLARY 3.3.** *Let  $\omega$  satisfy (2.11) with  $r=1$ . Then for  $f \in L^p(0,1)$  the condition*

$$\|K_n f - f\|_p = \mathcal{O}_f(\omega(1/n)) \quad (n \rightarrow \infty)$$

*is necessary and sufficient for*

$$\omega_\varphi(t, f) = \mathcal{O}_f(\omega(t^2)) \quad (t \rightarrow 0+).$$

#### 4. APPROXIMATION ON THE POSITIVE SEMI-AXIS

For  $f \in L^p(0, \infty)$  consider the Kantorovitch version

$$S_n f := \sum_{k=0}^{\infty} F_{k, n-1} f S_{kn}, \quad S_{kn}(x) := e^{-nx} \frac{(nx)^k}{k!},$$

$$V_n f := \sum_{k=0}^{\infty} F_{k, n-1} f b_{kn}, \quad b_{kn}(x) := \binom{n+k-1}{k} x^k (1+x)^{-n-k}$$

of the Szász-Mirakjan and Baskakov operator, respectively. The corresponding modulus can be expressed by (cf. [5])

$$\omega_\varphi(t, f) := \sup_{0 < h \leq t} \|\Delta_{h\varphi}^2 f\|_{L^p(ah^2, \infty)} + \sup_{0 < h \leq t^2} \|\Delta_h^2 f\|_{L^p(h, 1/2)},$$

$$(4.1) \quad \begin{cases} \varphi^2(x) = x, a = 1 \text{ if } T_n = S_n \\ \varphi^2(x) = x(1+x), a = 2 \text{ if } T_n = V_n. \end{cases}$$

Again, this modulus is equivalent to the  $K$ -functional defined by (2.5) with

$$U := \{g \in L^p(0, \infty) : \varphi^2 g'' \in L^p(0, \infty)\}, \quad |g|_U := \|\varphi^2 g''\|_p,$$

so that one similarly obtains

**THEOREM 4.1.** *With the notation (4.1) one has for  $f \in L^p(0, \infty)$ ,  $1 \leq p < \infty$ ,*

$$\omega_\varphi(n^{-1/2}, f) \leq M_p \begin{cases} n^{-1} [\sum_{k=1}^n \|S_k f - f\|_p + \|f\|_p] \\ n^{-1} [\sum_{k=1}^n \|V_k f - f\|_p + \|f\|_p]. \end{cases}$$



PROOF. Let us follow the lines of the proof of Theorem 3.2. First of all, note that Lemma 3.1 also holds true for the interval  $(0, \infty)$  and for infinite series. Now set  $T_1 = 0$  (then (2.6-9) are trivial) and for  $n \geq 2$  alternatively

$$\begin{cases} T_n = S_n, & |g|_U^* = \|g''\|_p, & q_{kn} = s_{kn} \\ T_n = V_n, & |g|_U^* = \|g''\|_p + \|\varphi^2 g''\|_p, & q_{kn} = b_{kn}. \end{cases}$$

Then one has (cf. [1])

$$\begin{aligned} \varphi^2 T_n'' f &= \sum_{k=0}^{\infty} F_{k,n-1} f q_{kn} = n^2 \sum_{k=1}^{\infty} \varphi^2 \left( \frac{k}{n} \right) \Delta^2 F_{k,n-1} f q_{kn}, \\ g_{kn}(x) &= n \varphi^{-2}(x) q_{kn}(x) \cdot \begin{cases} \left[ n \left( \frac{k}{n} - x \right)^2 - \varphi^2 \left( \frac{k}{n} \right) \right], & T_n = S_n \\ \left[ (n+1) \left( \frac{k}{n} - x \right)^2 - \varphi^2 \left( \frac{k}{n} \right) \right], & T_n = V_n \end{cases} \end{aligned}$$

which implies (2.6,7) (cf. [4]). Also (2.8) follows since for  $|k/n - y| \leq 2/n$

$$\varphi^2 \left( \frac{k}{n} \right) \leq \begin{cases} \varphi^2(y) + \frac{2}{n}, & T_n = S_n \\ \varphi^2(y) + \frac{2}{n} (1+2y) + \frac{4}{n^2} \leq \varphi^2(y) + \frac{4}{n} (1 + \varphi^2(y)), & T_n = V_n. \end{cases}$$

Analogously, one establishes (2.9) in view of

$$\varphi^2 \left( \frac{k}{n} \right) q_{kn}(x) = \begin{cases} \varphi^2(x) q_{k-1,n}(x), & q_{kn} = s_{kn} \\ \frac{n+1}{n} \varphi^2(x) q_{k-1,n+2}(x), & q_{kn} = b_{kn}. \end{cases}$$

Hence Theorem 2.2 and the equivalence of the  $K$ -functional with  $\omega_\varphi$  yield the assertion.  $\square$

Let us finally apply these weak-type inequalities in connection with the direct estimates (cf. [5])

$$\left. \begin{aligned} \|S_n f - f\|_p \\ \|V_n f - f\|_p \end{aligned} \right\} \leq M_p [\omega_\varphi(n^{-1/2}, f) + n^{-1} \|f\|_p].$$

**COROLLARY 4.2.** *Let  $\omega$  satisfy (2.11) with  $r=1$ . Then for  $f \in L^p(0, \infty)$  the condition*

$$\left. \begin{aligned} \|S_n f - f\|_p \\ \|V_n f - f\|_p \end{aligned} \right\} = o_f(\omega(1/n)) \quad (n \rightarrow \infty),$$

*respectively, is necessary and sufficient for (cf. (4.1))*

$$\omega_{\varphi}(t, f) = \mathcal{O}_f(\omega(t^2)) \quad (t \rightarrow 0+).$$

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